Correlation Between Parameters Related to Intramolecular Hydrogen Bond Strength and Hammett Constant in Para Substituted Benzoylaceton (A Theoretical and Experimental Study)

VAHIDREZA DARUGAR1, MOHAMMAD VAKILI*†, SAYYED FARAMARZTAYYARI1, HOSEIN ESHGHI1 and RAHELEH AFZALI1

1Department of Chemistry, Ferdowsi University of Mashhad, Mashhad 91775-1436, Iran.
*Corresponding author E-mail: vakili-m@um.ac.ir

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ABSTRACT

Conformational stability, equilibrium constant between two stable cis-enol forms, and intramolecular hydrogen bonding (IHB) of benzoylaceton (BA) and p-substituted benzoylaceton (X-BA), where X=NO₂, OCH₃, CH₃, OH, CF₃, Cl, F, and NH₂, have been investigated by means of density functional theory (DFT) calculations and compared with the reported experimental results. According to our calculations, the energy difference between the two stable chelated enol forms is negligible, about 0.35-1.1 kcal/mol ranges in the gas phase and different solvents. The electronic effects of p-substituted benzoylaceton on IHB strength were determined and established by NMR, IR spectra, geometry, and topological parameters with Hammett linear free energy relationships. Also, the linear correlation coefficients between σᵖ and selected parameters related to IHB strength, such as geometrical, topological parameters, IR and NMR spectroscopic data, and NBO results related to IHBs were considered. Good linear correlations between σᵖ and the mentioned parameters were obtained

Keywords: Intramolecular hydrogen bond, Benzoylaceton, AIM, DFT, NBO, Hammett equation.

INTRODUCTION

The concept of hydrogen bond, for the first time, had been proposed by Huggins in 1919. After that, many theoretical and experimental works have been done to study the properties of intramolecular and intermolecular hydrogen bond. In an intramolecular hydrogen bond (IHB) system, both proton donor and proton acceptor groups are located in the same molecule. The cis-enol forms of β-diketones are engaged in an intramolecular hydrogen bond system and could be stabilized by a six membered chelated ring. Formation of this kind of hydrogen bond causes an obvious affinity for bond equalization of the valence bonds in the resulting chelated ring. Thus, any parameter that affects the electron density of the chelating ring will change the hydrogen bond strength.
Two stable cis-enol forms of 1-Phenyl-1,3-butanedione, known as benzoylacetone (BA), as an asymmetric β-diketones, characterized by the position of the phenyl group, which can be attached at C2 or at C4 (i.e. adjacent to C-O bond), Fig.1. They are labeled as BA-2 and BA-4, respectively.

By replacing the hydrogen atom in the para position of phenyl ring with the electron-withdrawing groups (EWG) or electron donating groups (EDG), the π-electrons in the chelated ring are significantly distorted. So the intramolecular hydrogen bond strength (IHBs) of these molecules are affected by varying in the bond lengths of chelated ring. The EWG tend to reduce IHB strength by two manners, the first conjugation with the enol double bond and the second by increasing positive charges on the carbonyl oxygen atom10-12.

As you know, Hammett quantified the effect of substituents on any reaction by defining an empirical electronic substituent parameter (σ), which is derived from the acidity constants, $K_a$'s of substituted benzoic acids13-14. The Hammett equation relates observed changes in equilibrium, rate constants, and physical properties with variations in structure to manage the systematic changes in the electron donating/withdrawing ability of substitutions. The aim of the present paper is to predict the structure, the conformational stabilities, and IHB strength of BA and its different para substitutions, X-BA, by means of density functional theory (DFT), Atoms-In-Molecules (AIM)15, and Natural Bond Orbital (NBO) calculations. Afterwards the results related to IHB strength have been compared with the experimental enolic proton chemical shifts. These results, for the first time, to the best of our knowledge, were correlated with Hammett's para function, $\sigma_p$, to point out a correlations between IHB strength and the parameters related to that with the electronic substitution effects on structure of titled molecules. So the substituent effect is discussed quantitatively by applying the Hammett equation.

**Computational methods**

All the calculations on BA and X-BA molecules were performed by the use of Gaussian 09 of program16. The cis-enol structure of all molecules have been optimized at the B3LYP17-18, the second-order Møller-Plesset (MP219-20, and TPSSh21 levels, using different basis sets, to confirm the relative stability of the cis-enol forms of titled molecules. The zero point vibrational energy, ZPE, corrections were obtained at the B3LYP level, without applying any scaling. The vibrational frequencies of the cis-enol forms were calculated at the B3LYP level of theory.

The AIM computations, such as the electronic charge density ($\rho$), its Laplacian ($\Delta^2\rho(r)$), and the IHB energy ($E_{HB}$) were carried out by using the AIM2000 program26-27. The second-order interaction energies ($E_2$), and natural charge of the bridged atoms (O, H, and O), were calculated using NBO 5.0 program28. For prediction of $^1$HNMR chemical shift of the enolic proton, NMR calculations were applied using gauge independent atomic orbital (GIAO) method29-30 at the B3LYP/6-311++G** level of theory. The predicted $^1$H chemical shifts are derived from $\delta = \sigma_p - \sigma_o$. In this equation, $\delta$ is the chemical shift, $\sigma$ is the absolute shielding of bridged hydrogen, and $\sigma_o$ is the absolute shielding of hydrogen nuclei in TMS (Tetramethylsilane) as reference. Finally, correlations between some calculated and experimental IHBS parameters with $\sigma_p$ Hammett equation have also been considered. Graphs were drawn and regression analyses were performed using Microsoft Office Excel, 2016 software.

**RESULTS AND DISCUSSION**

**Tautomerism and IHB strength**

Cis-enol forms of β-dicarbonyl compounds stabilized by an intramolecular hydrogen bond. Two different cis-enol forms are noticeable in the BA and its para substituted, X-BA, as a case of unsymmetrical β-dicarbonyl compounds, (see Fig. 1). The name and atom numbering of the stable forms are shown in Fig.1. For comparison, the relative stabilities of
stable cis-enol forms of BA (BA-2 and BA-4) and its substitutions (X-BA-2 and X-BA-4), along with the reported experimental and theoretical equilibrium constants (K_{eq}), and their dipole moments, calculated at different levels and basis sets of theory in gas phase and solutions, are listed in Table 1. Acetonitrile, ethanol, and carbon tetrachloride, as polar and non-polar solvent, was selected for studying the enol-enol tautomerism in solution. According to Table 1, the energy differences between the mentioned stable cis-enol forms, are negligible (0.35-1.1, 0.55-0.76, 0.43-0.60, and 0.44-0.61 kcal/mol ranges in the gas phase, CCl₄, CH₃CN, and C₂H₅OH solvents, respectively). Upon ZPE corrections, these energy differences reduce to 0.28–0.59, 0.21-0.44, 0.19-0.33, and 0.15-0.34 kcal/mol, respectively. Therefore, coexisting of two stable cis-enol forms of X-BA in the sample is possible, which is in agreement with the experimental equilibrium constants. Also this Table shows no significant variation between experimental and theoretical equilibrium constants, K_{eq}. We calculated the equilibrium constants from ΔG°= -RTln(K_{eq}) (1) equation, that ΔG°_{298}=(ΔX-BA-2-ΔX-BA-4) (2) in 298K. The Gibbs free energy values under standard conditions (G°), which were calculated at B3LYP/6-311++G** within the harmonic approximation, were used for the evaluation of the X-BA-2 X-BA-4 equilibrium in the case of p-substituted benzyloacetone.

The parameters relate to intramolecular hydrogen bonding (IHB) strength, include optimized geometrical parameters, topological parameters, the natural bond orbital analysis (NBO), theoretical and experimental spectroscopic data consist of IR frequencies, NMR chemical shifts, for each stable form and their averages are collected in Table 2.

According to Table 2, the O…O distance in 4 form of X-BA is shorter than that in 2 form, therefore the IHB strength in X-BA-4 is stronger than that in X-BA-2. This results is agreement to the other parameters relate to intramolecular hydrogen bonding (IHB) strength in this Table. The afore mentioned result for IHB strength of X-BA-2 and X-BA-4, and comparing the geometrical parameters of chelated ring suggests that in the 4 form, X-BA-4, that the phenyl group and hydroxyl group are adjacent, therefore a conjugation between C=C and C-C and phenyl group is expected, in while in the 2 form, X-BA-2, there is a conjugation between ph and C=O, as reported by R. Afzali et al 31.

As Table 2 shows, some substitutions with electron donating effect make the IHB stronger, such as NH₂, OCH₃, OH, and CH₃ substitutions, while the electron withdrawing substitutions such as CF₃, and NO₂ decrease the IHB strength of titled molecules, in comparison with BA, as the parent molecule. However the substitutions like F and Cl have no important effect on the IHB strength. So the following trends in IHB strength of X-BA molecules are concluded:

\[
\text{CF₃-BA < NO₂-BA < Cl-BA ~ F-BA ~ BA < CH₃-BA < OCH₃-BA ~ OH-BA < NH₂-BA} \quad (1)
\]

The relationships between the calculated and experimental parameters related to the IHB strength and Hammett substituent constant, \(\sigma_p\).

The Hammett equation, as a linear free energy relationship, correlates the effects of substitutions on many different chemical properties of phenyl family compounds. This equation is written in terms of equilibrium constants (log_{10}K=\rho\sigma_p-log_{10}K_0) (3). The \(\rho\) is a reliable empirical scale for the sensitivity of the reaction to the electronic substituent effect. One of the most surprising is the strength of O…H-O hydrogen bond, as an example of intramolecular hydrogen bond. According to the best of our knowledge, for the first time, we correlated the calculated and experimental parameters related to the IHB strength with electrophilic substituent constants, \(\sigma_p\), for some para substitutions of benzyloacetone(X-BA) and their cis-enol stable forms(X-BA-4 and X-BA-2), as a \(\beta\)-diketones with phenyl substitution. The mentioned correlations of X-BA-2, X-BA-4, and their average are
shown in Figs 2, 11. These Fig. show a good linear correlations between the mentioned parameters with $\sigma_p$, as:

$$\text{Parameter} = \rho \sigma_p + \text{const.} \quad (4)$$

Except for $\log K_{eq}$, the reported experimental and theoretical equilibrium constants between two stable cis-enol forms of X-BA, see Figure 2.

$$\delta_{OH} = \rho \sigma_p + \text{constant} \quad (5)$$

The linear dependence with high regression coefficients ($R^2 = 0.795, 0.923, 0.933,$ and 0.980 for X-BA-2, X-BA-4, their averaged, and experimental chemical shifts, respectively) indicates that there is strong correlation between IHB energies with $\delta_{OH}$ values as a descriptor of IHB nature strength. The chemical shifts of enolic protons were observed at about 15.8-17.3 ppm, its signal shifted to the upper magnetic field with increasing of the IHBs. These correlations supports the conjugation effect between the p-substituted aromatic system and the enol chelated ring.

![Fig. 2. The correlation between theoretical (a) and experimental (b) log $K_{eq}$ and $\sigma_p$.](image)

Correlation between the chemical shifts of enolic proton with $\sigma_p$

The experimental and theoretical proton chemical shifts of enolated proton ($\delta_{OH}$), have important roles in characterization of the nature of IHB strength. The theoretical and experimental $\delta_{OH}$ of X-BA-4 and X-BA-2 forms and their averaged values are given in Table 2. According to this Table, the IHB strength of the mentioned molecules (trend 1) are in agreement with the increasing of $\delta_{OH}$, experimentally and theoretically. The Fig. 3 a-b show linear correlations between the theoretical and experimental $\delta_{OH}$ of X-BA-4 and X-BA-2 forms and their averaged with $\sigma_p$, i.e. $\delta_{OH} = \rho \sigma_p + \text{constant}$. The linear dependence with high regression coefficients ($R^2 = 0.795, 0.923, 0.933,$ and 0.980) indicates that there is strong correlation between IHB energies with $\delta_{OH}$ values as a descriptor of IHB nature strength. The IR spectroscopy bands, include the OH stretching ($\nu_{OH}$) and out of plane bending of OH ($\gamma_{OH}$) have important roles in characterization of the nature of IHB strength. The IHB strength of the mentioned molecules are in agreement with the increasing of $\gamma_{OH}$ and decreasing of $\nu_{OH}$ positions, experimentally and theoretically. The mentioned results of X-BA-4 and X-BA-2 forms and their averaged values are given in Table 2. In addition the position of broad IR band at about 1600 cm$^{-1}$ for the enol forms, as was reported by H. Imai, and T. Shiraiwa et al. and attributed to the $\nu_{C=O}$ (which

![Fig. 3. The linear correlations between the theoretical (a) and experimental (b) $\delta_{OH}$ of X-BA-2, X-BA-4 forms, and their averaged with $\sigma_p$.](image)
is coupled to $\nu_{\text{C=C}}$, $\delta_{\text{OH}}$, $\delta_{\text{CH\_}}$, according to $^{31}$, also can be used for IHB strength $^{36-37}$. A red shift of this band could be attributed to decreasing of IHBs.

The Fig. 4 a-b show linear correlations between the theoretical $\nu_{\text{OH}}$ and $\gamma_{\text{OH}}$ frequencies of X-BA-4 and X-BA-2 and their averaged and $\sigma_p$, according to equation (4). The linear dependence with regression coefficients (see Fig. 4) indicates that there is strong correlation between IHB energies with $\nu_{\text{OH}}$, as a descriptor of IHB nature strength. According to Fig. 4b, there is no good correlation between $\gamma_{\text{OH}}$ values and $\sigma_p$, this behavior could be easily explained if we consider the calculation results. The calculation results show that there are coupling between $\gamma_{\text{OH}}$ with out of plane bending of CH\_ and the hydrogen belong to the phenyl ring.

The Fig. 4 shows a good linear correlation between the experimental frequency of broad band at about 1600 cm$^{-1}$ of the mentioned molecules and $\sigma_p$. The linear dependence with high regression coefficients ($R^2 = 0.991$, and 0.962 for EWG and EDG, respectively) indicates that there is strong correlation between IHB energies with the above experimental values as a descriptor of IHB nature strength. The Fig. 5 shows a positive slope in the region of the positive $\sigma_p$, while, with a negative slope in the region of the negative $\sigma_p$. Furthermore, as it is expected this frequency shift could be a criterion for the IHB strength. Therefore the EDG to EWG may have great effect on the hydrogen bonding.

Correlation between $\sigma_p$ and geometrical parameters related to IHBs

Geometrical parameters have various applications in the explanation of IHB strength in the cis-enol forms of $\beta$-diketones $^{33-34,38}$. The IHBs depends on the nature of the $\beta$ and $\beta$ substitutions $^{33-34}$. We considered the O...O and O...H distances, the O-H and O-H+O...H bond lengths, and the O...H-O angle parameters in the titled molecules, calculated at B3LYP/6-311+G**.

These bond length changes are attributed to changes in the $\pi$-electron delocalization of the chelated ring. The mentioned geometrical parameters correlated as good linear versus $\sigma_p$ with a correlation coefficients near to one for X-BA-2 and X-BA-4 and their averaged (see Fig. 6 a-e). This result could be attributed to the effect of electron-withdrawing and electron donating substitutions on the hydrogen bond strength in aromatic system and
its relation with Hammett equation. According to Table 2, by increasing the hydrogen bond strength, the calculated O...H and the O...O bond lengths decreases, while the calculated OHO bond angle and the O-H bond length increase.

The Fig. 7a-c show good linear correlations between the $E_{\text{HB}}$, $\rho(r)$, and its corresponding Laplacian, $\nabla^2 \rho(r)$, at O...H bond critical point of X-BA-4 and X-BA-2 forms, and their averaged and $\sigma_p$ with a correlation coefficients near to one. It means that the topological parameters as well as the mentioned parameters described the relationship between the H-bond strength and $\sigma_p$.

Correlation between $\sigma_p$ and AIM results related to IHBs

In the topological theory of AIM, when two neighboring atoms are chemically bonded, a bond critical point (BCP) appears between them and the nature of chemical bonds are described by total electronic density, $\rho(r)$, and its corresponding Laplacian, $\nabla^2 \rho(r)$. One of the most useful of theoretical methods to estimate hydrogen bond energy, has been explained by Espinosa et al.39. Who found that IHB energy may be correlated with the potential electron energy density at critical point, by the expression $E_{\text{HB}} = 1/2 \times \nabla^2 \rho(r)$. The hydrogen bonding energies ($E_{\text{HB}}$), according to Espinosa et al. suggestion, the calculated total electronic density and its corresponding Laplacian for all O...H bonds of stable cis-enol forms and their averaged, calculated at B3LYP/6-311++G** level, are given in Table 2. According to this table, the IHBs of titled molecules are in agreement with trend (1).

Correlation between $\sigma_p$ and NBO results related to IHBs

The NBO study, such as charge analysis, Wiberg bond orders, and hyperconjugative interactions, can be used as the other method for characterization of IHBs. In Weinhold’s NBO calculation, hyperconjugation has a stabilizing effect that arises from delocalization of electron density from filled Lewis type NBO (bonding or lone pair) to another neighboring electron deficient orbitals, non-Lewis type NBO, (such as antibonding or Rydberg), when these orbitals are properly oriented. For each donor NBO (i) and acceptor NBO (j), stabilization energy can be described by means of second-order perturbation interaction energy ($E^{(2)}$)40.

One of the important hyperconjugative interactions that is proportional to hydrogen bond strength, is $Lp(O)\rightarrow\sigma^*(OH)$, which are shown in the Table 2. The Fig. 8 a-c correlated the mentioned correlation with $\sigma_p$ for X-BA-2 and X-BA-4 and their
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- A, B, and C are calculated relative energies in gas phase at B3LYP, MP2, and TPSSh levels, respectively, the values of ZPE are in parentheses.
- Calculated relative energies in various solvents at B3LYP/6-311++G** level of theory.
- Calculated dipole moment (Debye) in gas phase at B3LYP/6-311++G** level of theory.
- Calculated equilibrium constants in gas phase at B3LYP/6-311++G** level of theory and experimental equilibrium constants in parentheses from Ref.43.
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<td>( \Delta_{E(HOMO - LUMO)} )</td>
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</table>

*a All calculated at the B3LYP/6-311++G** level, except for spectroscopic and proton chemical shift data that are calculated at the B3LYP/6-311++G** level of theory. The experimental values are in parentheses.
*b \( \delta, \rho \) are proton chemical shift in ppm; \( \nu, \gamma \) are stretching and out-of-plane bending modes frequencies, respectively, in cm\(^{-1}\).
*c \( R \) is bond length in Å, \( \angle \) is the bond angle in degrees.
*d \( E_{\text{HEB}} \) is the IHB energy in kcal/mol.
*e The energy difference between HOMO and LUMO orbitals in eV.
averaged of the titled molecules, which indicates excellent agreement between the above $E^{(2)}$ and $\sigma_p$. For LP(1)O→$\sigma^*$O-H, $R^2=0.920$, 0.884, and 0.911, for X-BA-2, X-BA-4, and their averaged, respectively. The correlation coefficients for LP(2)O→$\sigma^*$O-H are 0.964, 0.931, and 0.961, for X-BA-2, X-BA-4, and their averaged, respectively. Additionally we considered the mentioned correlation for “LP(1),LP(2)O→$\sigma^*$ O-H with $\sigma_p$ ($R^2=0.964$, 0.928, and 0.959, for X-BA-2, X-BA-4, and their averaged, respectively).

The calculated Wiberg bond orders\textsuperscript{41} of O-H, O⋯H, and O⋯O bonds for X-BA-2, X-BA-4, and their averaged, for comparison, are collected in Table 3. We correlate the mentioned bond orders with the $\sigma_p$. The best linear relationship was between the O-H bond order and $\sigma_p$ (R² =0.959, 0.903, and 0.953, for X-BA-2, X-BA-4, and its averaged, respectively, see Fig. 9a-c).

The natural charge on the bridged hydrogen, obtained by the NBO calculations for optimized geometries of X-BA-2, X-BA-4 enol forms and their averaged, are presented in Fig. 10. Good linear relationship between natural charge bridged hydrogen and $\sigma_p$ ($R^2=0.960$, 0.974, and 0.969, for X-BA-2, X-BA-4, and its averaged, respectively) implies that by increasing the acidic nature of bridged hydrogen (increasing in its positive natural charge), the IHB power decreases.

![Table 3: The bond orders of O-H, O⋯H, and O⋯O of p-substituted benzoylacetone](image)

![Fig. 8. a-c. The linear correlations between second order perturbation energy ($E^{(2)}$) as lp(O)$\rightarrow$$\sigma^*$O-H with $\sigma_p$](image)
Correlation between $\sigma_p$ and the energy difference between the orbitals of HOMO and LUMO

The HOMO characterizes the ability to donate an electron where LUMO represented the ability to obtain the electron, and the energy gap between the HOMO and LUMO characterizes the molecular chemical stability. The energy difference between the HOMO and LUMO are in the 3.85-4.67 eV range (see Table 2), for the title compounds. This energy gap indicates that structure of the title molecules are very stable. Our results show that there are good linear correlations between $\sigma_p$ and the energy difference between HOMO and LUMO in the studied molecules, see Fig.11. This Fig. shows a positive and negative slopes in the region of the negative and positive $\sigma_p$, respectively. Therefore the EDG to EWG may have great effect on the energy difference between HOMO and LUMO orbitals.

CONCLUSION

The intramolecular hydrogen bond for the BA and its substitutions in para position have been investigated using B3LYP/6-311++ G** level of theory. The results obtained from DFT calculations, the topological parameters, geometrical parameters, NBO method, theoretical and experimental IR and NMR spectroscopy, have been used to estimate the IHB strength. All these methods show that an electron donating substitution at para position, such as NH$_2$, OMe, Me, and OH, increases the hydrogen bond strength, while electron withdrawing substitutions, such as NO$_2$ and CF$_3$, decreases the IHB strength. The F and Cl substitutions have no significant effect on the IHB strength. According to various correlation graphs, correlation between $\sigma_p$ and the above parameters for X-BA-4 and X-BA-2 forms, and their
averaged show good linear dependence with high regression coefficients, but there is not good correlation between log$K_{eq}$ and Hammett substituent constant.

ACKNOWLEDGMENT

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REFERENCES